# **Retention and Classification Report**

Agency: Department of Corrections. Bureau of Clinical Services (64)

P.O. Box 250 Draper, UT 84020

# **Records Officer** Gina Proctor

80409	Alcohol and Substance Abuse Program inmate employee red
80423	
80407	Alcohol and Substance Abuse Treatment Program's contract
80301	
80408	*Client roster files
25926	Clinical Services Dental database
80428	Executive correspondence
02305	*HIV testing and results files
80348	*Inmate inpatient/outpatient log
80349	Inmate medical records
80302	*Inmates files screening tests
	Lab tests log books
80352	*Medical services cost ledgers
	*Organ donor registration forms
14434	Policies and procedures manuals
80356	*Prescription requisitions
80353	Prescriptions issue files
83685	*Resource materials manual
80411	Substance Abuse Section monthly status report
02301	
80357	X-ray files

<sup>\*</sup> indicates closed series

Page: 1

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80301 3

TITLE: Alcohol and drug education and prevention training services reports

**DATES:** 1985-

**ARRANGEMENT:** Chronological

ANNUAL ACCUMULATION: 60.00 cubic feet.

**DESCRIPTION:** 

These are quantitative summaries of programs that are being conducted by the office. This information is compiled and entered into the Division of Alcohol and Drugs database. This includes, program name, target group, numbers being treated, sex data, race/ethnic data, and ages. These reports are computer printouts from the database.

### **RETENTION:**

Retain 2 years.

#### **DISPOSITION:**

Destroy.

#### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 1.

**AUTHORIZED:** 01/18/2000

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 2 years and then destroy.

### **APPRAISAL:**

Administrative

This disposition is based on the administrative needs of the agency.

Page: 2

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80301

TITLE: Alcohol and drug education and prevention training services reports

(continued)

### **PRIMARY CLASSIFICATION:**

Private

Page: 3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80409

TITLE: Alcohol and Substance Abuse Program inmate employee records

**DATES:** 1986-

**ARRANGEMENT:** Alphabetical by inmate employee's surname

**ANNUAL ACCUMULATION:** 

**DESCRIPTION:** 

Documents the employment history of the inmates while under the jurisdiction of the Department. Includes the employment application, clearance documents and correspondence. Information includes the name of the inmate, inmate number, position, rate of pay, date of hire, termination date, etc.

### **RETENTION:**

Retain 3 years after termination of employment.

#### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 9, Item 20.

**AUTHORIZED:** 08/04/2000

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 1 year after termination of employment and then transfer to State Records Center. Retain in State Records Center for 2 years and then destroy.

Microfilm master: Retain in Office for 3 years after termination of employee and then destroy.

Page: 4

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80409

TITLE: Alcohol and Substance Abuse Program inmate employee records

(continued)

### **APPRAISAL:**

Administrative

### PRIMARY CLASSIFICATION:

Private

Page: 5

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80423

TITLE: Alcohol and Substance Abuse Treatment Program case files

**DATES:** 1970-

ARRANGEMENT: Alphabetical by name ANNUAL ACCUMULATION: 0.40 cubic feet.

**DESCRIPTION:** 

These are records of diagnosis and treatment for clients charged to the the care of the Alcohol and Substance Abuse Treatment Program at the State Prison or Adult Probation and Parole. Much of this information is found in the division of Alcohol and Drugs' database of client treatment information. This includes diagnostic records, consent forms for the release of information about the client, treatment records, medical records, psychological profiles, and attendance records of treatment sessions.

#### **RETENTION:**

Retain 10 years.

#### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 2.

AUTHORIZED: //

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 1 year after release from program and then transfer to State Records Center. Retain in State Records Center for 9 years and then destroy.

**Page:** 6

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES:** 80423

TITLE: Alcohol and Substance Abuse Treatment Program case files

(continued)

### **APPRAISAL:**

Administrative

This disposition is based on the administrative needs of the agency. Previous decision RDR 76-72.

### PRIMARY CLASSIFICATION:

Controlled

Page: 7

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80407

TITLE: Alcohol and Substance Abuse Treatment Program's contract files

**DATES:** 1985-

**ARRANGEMENT:** Chronological

ANNUAL ACCUMULATION: 0.10 cubic feet.

**DESCRIPTION:** 

These records document the provisions of the contract between the Substance Abuse Treatment Program and the Division of Alcohol and Drugs. It is also used as source data for the preparation of the bureau's annual budget request. A copy of this contract is also maintained at the Division of Alcohol and Drugs. This includes, a list of providers that the bureau is required to monitor, budget data, a description of treatment services provided, staff names, amendments, and job descriptions.

### **RETENTION:**

Retain 78 months (6.5 years) after termination of contract.

### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series were specifically approved by the State Records Committee.

**APPROVED:** 03/2001

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 18 months after termination of contract and then transfer to State Records Center. Retain in State Records Center for 60 months and then destroy.

Page: 8

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES:** 80407

TITLE: Alcohol and Substance Abuse Treatment Program's contract files

(continued)

### **APPRAISAL:**

Administrative

### PRIMARY CLASSIFICATION:

Public

Page: 9

3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80408

TITLE: Client roster files DATES: i 1980-2000.

ARRANGEMENT: Chronological ANNUAL ACCUMULATION:

**DESCRIPTION:** 

These records document individuals enrolled in programs and the type of treatment they are receiving. This information is shared with the Division of Alcohol and Drugs as part of their compliance requirements. This includes, name of client, therapist's name, location and time of therapy sessions, and waiting list of clients for treatment.

### **RETENTION:**

Retain 2 years after discharge of client.

#### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 2.

**AUTHORIZED:** 01/04/2001

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 2 years after discharge of client and then destroy.

### **APPRAISAL:**

Administrative

Page: 10

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80408 TITLE: Client roster files

(continued)

# **PRIMARY CLASSIFICATION:**

Controlled CFR title 42

**Page:** 11

3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 25926

TITLE: Clinical Services Dental database

**DATES:** 2000-

**ARRANGEMENT:** Numerical by offender or inmate number

**ANNUAL ACCUMULATION:** 

**DESCRIPTION:** 

This database contains information on inmate dental care input by dentists and dental assistants. The type of data includes treatment plans, treatment completed, x-ray results, medications ordered, and notes concerning all dental care provided.

#### **RETENTION:**

Retain 10 years.

### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series were specifically approved by the State Records Committee.

**APPROVED:** 09/2005

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Computer data files: Retain in Office for 10 years and then delete.

### **APPRAISAL:**

### Administrative

This disposition is based upon both the administrative needs expressed by the department and the legal requirements specified in 42 CFR 1003 (2004) and the Health Department licensing requirements in the Utah Administrative Code, R432-100.33(4) (2005).

Page: 12

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 25926 TITLE: Clinical Services Dental database

(continued)

### **PRIMARY CLASSIFICATION:**

Private

# **SECONDARY CLASSIFICATION(S):**

Controlled Private

**Page:** 13

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80428

TITLE: Executive correspondence

**DATES:** 1980-

**ARRANGEMENT:** Chronological

ANNUAL ACCUMULATION: 0.10 cubic feet.

**DESCRIPTION:** 

These files document the primary mission and internal administration of the Alcohol and Substance Abuse Treatment Program. Their job is to diagnose, treat, and cure any client charged to their care. They are arranged alphabetically by subject and year. This includes memos and letters to and from providers, employees, the Division of Alcohol and Drugs regarding client care and services provided.

#### **RETENTION:**

Retain 5 years.

### **DISPOSITION:**

Transfer to the State Archives with authority to weed.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 1, Item 6.

**AUTHORIZED:** 12/19/2000

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 1 year and then transfer to State Records Center. Retain in State Records Center for 4 years and then transfer to State Archives with authority to weed.

**Page:** 14

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80428

TITLE: Executive correspondence

(continued)

### **APPRAISAL:**

Administrative Historical

### PRIMARY CLASSIFICATION:

Controlled

**Page:** 15

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 2305

TITLE: HIV testing and results files

**DATES:** 1989-2000.

ARRANGEMENT: Numerical by inmate number TOTAL VOLUME: 0.10 cubic feet.

**DESCRIPTION:** 

This is data collected from the bureau's HIV screening and testing program. These records are based on OSHA requirements for staff HIV and other bloodborn pathogens data. The information contained in this records series is now maintained with employee medical records.

### **RETENTION:**

Retain 32 years after inmate is released from custody.

#### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 4.

**AUTHORIZED:** 01/04/2001

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 2 years after inmate is released from custody and then transfer to State Records Center. Retain in State Records Center for 30 years and then destroy.

### **APPRAISAL:**

Administrative

Page: 16

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 2305 TITLE: HIV testing and results files

(continued)

### **PRIMARY CLASSIFICATION:**

Exempt

**Page:** 17

3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES:** 80348

TITLE: Inmate inpatient/outpatient log

**DATES:** 1979-2000.

**ARRANGEMENT:** Chronological

TOTAL VOLUME: DESCRIPTION:

These files are used to supply chronological records of all inmate services provided by outside providers and prison infirmary admissions. They are used to prepare reports on patient case loads. This includes inmate name, diagnosis, date of service, date of admission and date of discharge, medical costs, date bill received, and date bill was paid. It also includes prison inpatient date, date of admission, date of release, and total duration.

### **RETENTION:**

Retain 10 years after released from prison.

### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 5.

**AUTHORIZED:** 03/22/2001

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 5 years after released from prison and then transfer to State Records Center. Retain in State Records Center for 5 years and then destroy.

### **APPRAISAL:**

This retention is based on administrative needs only.

Page: 18

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80348
TITLE: lnmate inpatient/outpatient log

(continued)

### **PRIMARY CLASSIFICATION:**

Private

**Page:** 19

3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES:** 80349

TITLE: Inmate medical records

**DATES:** 1953-

**ARRANGEMENT:** Numerical by inmate terminal digit number.

ANNUAL ACCUMULATION: 10.00 cubic feet.

**DESCRIPTION:** 

These records are produced from the diagnosis and treatment of inmates for illnesses and injuries. It provides a chronological medical history of any inmate who has been under the care of the medical office at the prison. Information includes physician orders, prescriptions, pharmaceutical records, progress notes, lab reports, prescription/treatment histories, intake records, consultation reports, medical histories, psychiatric notes, psychological notes, x-rays, health care request forms, medical clearance forms, memorandums, record request forms, dental examinations/treatments, optometry examinations, audiology reports, physical therapy treatments, psychiatric assessments, psychological assessments, and discharge summary.

### **RETENTION:**

Retain 10 years after discharge.

### **DISPOSITION:**

Destroy.

#### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 5.

**AUTHORIZED:** 01/07/2013

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 5 years after discharge and then transfer to State Records Center. Retain in State Records Center for 5 years and then destroy.

Page: 20

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES:** 80349

Inmate medical records TITLE:

(continued)

Computer data files: Retain in Office for 10 years and then

delete.

Computer data files: Retain in Office for 10 years and then

Computer data files: Retain in Office for 10 years and then

delete.

### **APPRAISAL:**

Administrative

**PRIMARY CLASSIFICATION:** 

UCA 63G-2-302 1(b) (2008) Private

SECONDARY CLASSIFICATION(S):
Controlled. UCA 63G-2-304 (2008)

**Page:** 21

3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80302

TITLE: Inmates files screening tests

**DATES:** 1985-2000.

ARRANGEMENT: Chronological ANNUAL ACCUMULATION:

**DESCRIPTION:** 

These records are used to screen inmates for the Substance Abuse Treatment Program. This program is voluntary. If the inmate declines to participate the file is kept separately. The files include the inmate's name, his criminal data, his drug or alcohol problem, and his signature.

### **RETENTION:**

Retain 10 years after released from prison.

#### **DISPOSITION:**

Destroy.

#### RETENTION AND DISPOSITION AUTHORIZATION:

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 2.

**AUTHORIZED:** 03/22/2001

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 1 year after released from prison and then transfer to State Records Center. Retain in State Records Center for 9 years and then destroy.

### **APPRAISAL:**

Administrative Legal

This retention is based on the audit requirements outlined in CFR 42: 481.10 and the state's statute of limitations in UCA 78-12-26(4). This file is maintained to monitor an inmate's progress in his treatment and for litigation support.

**Page:** 22

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80302

TITLE: Inmates files screening tests

(continued)

### PRIMARY CLASSIFICATION:

Private

Page: 23

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80351 TITLE:

3 Lab tests log books

**DATES:** 1980-

**ARRANGEMENT:** Chronological

ANNUAL ACCUMULATION: 0.20 cubic feet.

**DESCRIPTION:** 

When a lab test is needed for an inmate in order to facilitate diagnosis, a lab test is requested. This log is maintained in order to determine the status of all tests sent out for processing. It is also used to help verify medical services costs. This includes inmate's name, inmate's number, name of test(s) taken, and date test was ordered.

### **RETENTION:**

Retain 3 years.

#### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 6.

**AUTHORIZED:** //

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 3 years and then destroy.

### **APPRAISAL:**

This retention is based on the administrative needs of the office only.

Page: 24

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80351 TITLE: Lab tests log books

(continued)

### **PRIMARY CLASSIFICATION:**

Private

**Page:** 25

3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80352

TITLE: Medical services cost ledgers

**DATES:** 1981-2000.

ARRANGEMENT: alphabetical by inmate's name

## **ANNUAL ACCUMULATION:**

### **DESCRIPTION:**

These ledgers are used to account for the cost of all medical services provided to inmates. They provide cost and statistical data which is used in the preparation of the office budget. This includes date, description of illnesses, cost of each visit, date submitted for payment, and remarks on each inmate.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 6, Item 3.

**AUTHORIZED:** 01/29/2001

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 3 years and then destroy.

#### **APPRAISAL:**

This retention is based on budget needs and sudit needs.

### PRIMARY CLASSIFICATION:

Private

**Page:** 26

1

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 28137

TITLE: Organ donor registration forms

**DATES:** 2012.

**ARRANGEMENT:** Alphanumerical by name or offender number

**ANNUAL ACCUMULATION:** 

**DESCRIPTION:** 

These forms document whether offenders desire to register as an organ and tissue donor. Information includes offender name, offender identification number, date of birth, sex, offender signature, offender's desire to be or not to be an organ and tissue donor, and staff member's (witness) signature.

### **RETENTION:**

Retain 100 years

### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is proposed and has not yet been approved.

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Computer data files: Retain in Office for 100 years and then delete.

Paper: Retain in Office until scanned and then destroy.

### **APPRAISAL:**

Administrative

Page: 27

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 28137

Organ donor registration forms TITLE:

(continued)

### **PRIMARY CLASSIFICATION:**

Utah Code 63G-2-302; offender name, offender number, date of birth, sex, signature Private

**Page:** 28

3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 14434

TITLE: Policies and procedures manuals

**DATES:** 1990-

**ARRANGEMENT:** Numerical by policy number

**ANNUAL ACCUMULATION:** 

**DESCRIPTION:** 

These records include the official manuals as well as copies of them and are used by the office to ensure proper departmental policies and procedures are implemented. They consist of general orders, post orders, and special orders that govern the operation and administration of various programs within the organization.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 1, Item 70.

**AUTHORIZED:** 04/07/2015

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office until superseded and then transfer to State Archives with authority to weed.

Paper copy: Retain in Office until superseded and then destroy.

#### **APPRAISAL:**

Administrative Historical

### **PRIMARY CLASSIFICATION:**

Public UCA 63G-2-301-2(a)

**Page:** 29

3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80356

TITLE: Prescription requisitions

**DATES:** 1984-2000.

**ARRANGEMENT:** Alphabetical by supplier

**ANNUAL ACCUMULATION:** 

**DESCRIPTION:** 

These records document all requisitions made by the prison pharmacy to pharmaceutical venders. They are used for budgeting. A copy is kept in the division's Purchasing office and in the department's Bureau of Finance. This includes requister identification data, approval number, vendor number, requisition number, accounting data, quantity, item number, description or specifications, unit price, and total amount of request.

#### **RETENTION:**

Retain 2 years.

### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 7.

**AUTHORIZED:** 01/04/2001

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 2 years and then destroy.

### **APPRAISAL:**

Administrative

Page: 30

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80356 TITLE: Prescription requisitions

(continued)

### **PRIMARY CLASSIFICATION:**

Public

**Page: 31** 

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 80353

TITLE: Prescriptions issue files

**DATES:** 1972-

**ARRANGEMENT:** Numerical by inmate number **ANNUAL ACCUMULATION:** 0.80 cubic feet.

**DESCRIPTION:** 

These files document the issuance of a prescription to a particular inmate. They are also kept to verify any refills made. It also are used in forecasting the amount of drugs to be stocked at the prison and is used to prepare pharmacy requisitions. This includes patient's name, inmate number, drug issued, dosage, date prescribed, and practitioner's signature.

### **RETENTION:**

Retain 2 years.

#### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 7.

AUTHORIZED: //

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 1 year and then transfer to State Records Center. Retain in State Records Center for 1 year and then destroy.

#### **APPRAISAL:**

This retention is based on UCA 58-17-19.

Page: 32

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80353 TITLE: Prescriptions issue files

(continued)

### **PRIMARY CLASSIFICATION:**

Controlled

**Page:** 33

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES**: 83685

TITLE: Resource materials manual

**DATES:** 1966-1967.

ARRANGEMENT: chronological ANNUAL ACCUMULATION:

**DESCRIPTION:** 

The Resource Materials Manual ats as an instructional guide for counselors who help alcoholics. It provides basic information on definitions of alcoholism, ways alcohol efects behavior, land various alcohol related anecdotes that can serve as learning aids. This manual was produced primarily as an alcohol eduction aid. Holdings: 1966.

### **RETENTION:**

Retain Archvies custody.

#### **DISPOSITION:**

Transfer to the State Archives with authority to weed.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

These records are in Archives' permanent custody.

### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in State Archives permanently with authority to weed.

**Page:** 34

**AGENCY:** Department of Corrections. Bureau of Clinical Services

**SERIES:** 80411

TITLE: Substance Abuse Section monthly status report

**DATES:** 1984-

**ARRANGEMENT:** Chronological

ANNUAL ACCUMULATION: 0.10 cubic feet.

**DESCRIPTION:** 

This is a management and reference tool. These records track the the number of inmates involved in the program, the number admitted, and the number discharged from the program. The files are also used as a source document in budget preparation. Includes the number of inmates and parolees in the program, the number admitted and discharged, the location where treatment occurs, attendance count, and the number in the aftercare program.

### **RETENTION:**

Retain 2 years.

### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 1.

AUTHORIZED: //

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 2 years and then destroy.

#### **APPRAISAL:**

Administrative Fiscal

This is essentially needed after the first year as a source document for budget request. The retention is, therefore, based on the 1988 General Schedule 2, Item 3.

Page: 35

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80411
TITLE: Substance Abuse Section monthly status report

(continued)

### PRIMARY CLASSIFICATION:

Public

**Page:** 36

3

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 2301

TITLE: Substance abuse case files

DATES: 1989-ARRANGEMENT: None ANNUAL ACCUMULATION:

**DESCRIPTION:** 

Records of diagnosis and treatment for clients charged to the care of the Alcohol and Substance Abuse Treatment Program at the State Prison or Adult Probation and Parole. Information includes diagnostic records, consent forms for the release of information, treatment records, and attendance records of treatment sessions.

### **RETENTION:**

Retain 10 years.

### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 2.

AUTHORIZED: //

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

Paper: Retain in Office for 10 years after after last contact with program and then destroy.

### **APPRAISAL:**

Page: 37

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 2301 TITLE: Substance abuse case files

(continued)

# **PRIMARY CLASSIFICATION:**

UCA 63G-2-302 (1)(b) (2008) Private

**Page:** 38

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80357

TITLE: X-ray files DATES: 1959-

**ARRANGEMENT:** Numerical by inmate number **ANNUAL ACCUMULATION:** 0.50 cubic feet.

**DESCRIPTION:** 

These records are used by the prison physicians for diagnosis of the medical conditions of the residents and for preventative healthcare. The files contain the x-ray films, date and x-ray file number.

### **RETENTION:**

Retain 10 years after released from prison.

### **DISPOSITION:**

Destroy.

### **RETENTION AND DISPOSITION AUTHORIZATION:**

Retention and disposition for this series is authorized by Archives general schedule SG 8, Item 9.

**AUTHORIZED:** 08/04/2000

#### **FORMAT MANAGEMENT:**

The retention and disposition information on this schedule applies to the record copy which can be in any format. The record copy can include different formats. Format management information provided here is for the purpose of managing records that are being either stored by or transferred to Utah State Archives.

X-rays: Retain in Office for 3 years after release from prison and then transfer to State Records Center. Retain in State Records Center for 7 years and then return to agency for silver recovery and destroy.

### **APPRAISAL:**

Administrative

**Page:** 39

**AGENCY:** Department of Corrections. Bureau of Clinical Services

SERIES: 80357 TITLE: X-ray files

(continued)

### **PRIMARY CLASSIFICATION:**

Private